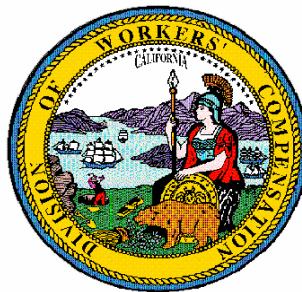


# **Workers' Compensation Information System**

## **California EDI Implementation Guide for First and Subsequent Reports of Injury**

**Version 2.1**

**June 2005**



**CALIFORNIA DEPARTMENT OF INDUSTRIAL RELATIONS  
JOHN REA, ACTING DIRECTOR**

**DIVISION OF WORKERS' COMPENSATION**

June 15, 2005

Dear Claims Administrators:

Welcome to Electronic Data Interchange (EDI). The California Division of Workers' Compensation (DWC) is pleased to introduce its system for receiving workers' compensation claims data via EDI. This data will be integrated with other data to make up our Workers' Compensation Information System, which will become a rich resource for analyzing the performance of California's workers' compensation system.

This manual, the *California EDI Implementation Guide For First and Subsequent Reports of Injury*, is intended to be a primary resource for the organizations that will become the Division's "trading partners" – claims administrators for California workers' compensation claims.

Some organizations already have substantial experience with EDI, and transmit data to workers' compensation agencies in many states. For them, this *Implementation Guide* can serve as a reference for California-specific protocols. While we have adhered to national EDI standards, California's implementation does have minor differences from other states'.

The *Implementation Guide* also includes background information for organizations new to EDI. If your organization is just getting started, the "Overview of EDI" and the "Managers' Guide" are for you. You will also find numerous valuable resource materials.

This *Implementation Guide* will be posted on our Web site at <http://www.dir.ca.gov/dwc/wcis.htm>

We hope that the start-up of EDI data reporting in California will be as smooth and as painless as possible, both for the Division and for our EDI trading partners. DWC is dedicated to full, open communication as a cornerstone of a successful start-up process, and this *Implementation Guide* is a key element of that communication.

Sincerely,

ANDREA LYNN HOCH  
Administrative Director

## Acknowledgements

This Implementation Guide was developed by the Research Unit, California Division of Workers' Compensation: Lisa Dasinger, Bill Ponicki, Melissa Cliatt, Benigno Diaz, Bonnie Cromartie, Marisa Pereira, Rosanna Choy, Yery Berger, and Jim Bellows, Research Manager. Jeff Snow and others at Celerity Technologies provided exhaustive technical advice, helping us clarify our EDI specifications.

We are grateful to the insightful project managers – Neil Maizlish and Linda Rudolph – who provided the original direction for California's Workers' Compensation Information System, and to the many other states – including Texas, Florida, and Kentucky – that granted us permission to reproduce material from their own implementation guides. We appreciate the support we received from Claimport (formerly Unicom Communication), especially in preparation of system test data.

We are especially grateful for the inspiration, dedication, and good humor provided by the late Harvey Shlasky, Senior Technical Lead during the early development of California's EDI system. He developed the architecture for our EDI processing system and the WCIS database, and deserves credit for whatever level of success the system enjoys. The rest of us are responsible for any shortcomings.

### Acknowledgements for Version 2.0

The revised Implementation Guide 2.0 (IG) involved several individuals. They edited and corrected information to reflect the many changes that occurred since the last version and also revised the WCIS system that was implemented July 1, 2004.

DWC Research Unit Manager Bill Kahley and Genet Daba went through the previous version to correct and clarify the document. Their effort was supplemented by the WCIS EDI staff--Damon Chen, Johnny Lee, and Elisema Cantu. Benny Gee modified the technical sections to reflect changes in technology.

Several IT staff, who worked on the design and development of the revised system, also contributed to this IG. They include:

Managers: Manny Ortiz, Jack Chu, and Dan Nishijima

Programmers: Jackie Chang, Marcel Zukerman, Elizabeth Nisperos, Dan Galperin, and Liza Dizon

DBA: Jeff Seeman

Project Coordinators: Rosanna Choy and Leo Alontave

**Workers' Compensation Information System**  
**CALIFORNIA EDI IMPLEMENTATION GUIDE FOR**  
**FIRST AND SUBSEQUENT REPORTS OF INJURY**  
**Version 2.1**  
**June 2005**

**Table of Contents**

<b>Section</b>	<b>Last Updated</b>
<b>A</b>	<b>EDI in California – An Overview ..... June 2005</b>
<b>B</b>	<b>Where to Get Help.....June 2005</b>
<b>C</b>	<b>Implementing EDI – A Manager's Guide ..... June 2005</b>
<b>D</b>	<b>Authorizing Statutes – Labor Code §138.6,138.7 ..... June 2005</b>
<b>E</b>	<b>WCIS Regulations – 8 CCR §9700-9703 ..... June 2005</b>
<b>F</b>	<b>Trading Partner Profile ..... June 2005</b>
<b>G</b>	<b>Test, Pilot, Parallel and Production Phases of EDI..... June 2005</b>
<b>H</b>	<b>File Formats and Supported Transactions..... June 2005</b>
<b>I</b>	<b>Transmission Modes..... June 2005</b>
<b>J</b>	<b>EDI Service Providers ..... June 2005</b>
<b>K</b>	<b>Events that Trigger Required Reports ..... June 2005</b>
<b>L</b>	<b>Required Data Elements ..... June 2005</b>
<b>M</b>	<b>California-Specific Data Edits..... June 2005</b>
<b>N</b>	<b>System Specifications ..... June 2005</b>
<b>O</b>	<b>Code Lists ..... June 2005</b>
<b>P</b>	<b>IAIABC Information ..... June 2005</b>
<b>Q</b>	<b>EDI Terminology ..... June 2005</b>
<b>App A</b>	<b>Revised WCIS System updates ..... June 2005</b>
<b>App B</b>	<b>Revision History.....June 2005</b>